

# Verification of Functional Programs

## Co-Induction

Andrés Sicard-Ramírez

EAFIT University

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# Non-Well-Founded Sets

Axiom of foundation (ZFC)

All sets are well-founded.

# Non-Well-Founded Sets

## Axiom of foundation (ZFC)

All sets are well-founded.

## Theorem

A set  $X$  is well-founded iff there is no sequence  $\langle X_n \mid n \in \mathbb{N} \rangle$  such that  $X_0 = X$  and  $X_{n+1} \in X_n$  for all  $n \in \mathbb{N}$  [Hrbacek and Jech (1978) 1999, Theorem 2.4, p. 256].

## Definition

A set  $X$  is **non-well-founded** iff there is an infinite sequence  $X_1, X_2, \dots$  such that  $X_{n+1}$  is a member of  $X_n$ , for all  $n \in \mathbb{N}$  [Milner and Tofte 1991, p. 209].

# Co-Inductive Types

## Description

‘The objects of an inductive type are **well-founded** with respect to the constructors of the type. In other words, such objects contain only a **finite** number of constructors. Co-inductive types arise from relaxing this condition, and admitting types whose objects contain an **infinity** of constructors.’ [The Coq Development Team 2016, § 1.3.3].

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## Remark

Potentially infinity of constructors.

# Co-Inductive Types

## Example (Haskell)

The canonical example of a co-inductive data type are **streams**.

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data Nat = Z | S Nat
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zeros :: Stream Nat
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```
zeros = Cons Z zeros
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zeros :: Stream Nat
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zeros = Cons Z zeros
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## Remark

Haskell's **data** keyword defines both inductive and co-inductive data types. That is not a good idea!



# Co-Inductive Types

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The `Set Implicit Arguments` command can be used in `Coq` for handling the implicit arguments.

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## Example (`Coq`)

```
Require Import Unicode.Utf8.
```

```
Set Implicit Arguments.
```

```
CoInductive Stream (A : Type) : Type :=  
  cons : A → Stream A → Stream A.
```

```
CoFixpoint zeros : Stream nat := cons 0 zeros.
```

# Co-Inductive Types

## Example (cont.)

**Notation** "x :: xs" :=  
 (cons x xs) (at level 60, right associativity).

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**CoFixpoint** zeros : Stream nat := 0 :: zeros.

## Remark

We will continue using [Coq](#) for the examples related to co-induction.

# Co-Inductive Types

## Example (co-inductive natural numbers)

Intuition:  $\text{CoN} = \mathbb{N} \cup \{\infty\}$

```
Require Import Unicode.Utf8.
```

```
CoInductive Conat : Set :=
```

```
| cozero : Conat
```

```
| cosucc  : Conat → Conat.
```

```
CoFixpoint inf : Conat := cosucc inf.
```

# Co-Inductive Types

## Definition

Let  $D$  be a set, let  $(D, \sqsubseteq)$  be a poset and let  $f$  be a function  $f : D \rightarrow D$ . An element  $d \in D$  is a **post-fixed point** of  $f$  iff

$$d \sqsubseteq f(d).$$

# Co-Inductive Types

Let  $D$  be a set,  $(D, \sqsubseteq)$  be a poset and  $f$  be a function  $f : D \rightarrow D$ .

## Definition (Greatest post-fixed point)

The greatest post-fixed of  $f$  is greatest among the post-fixed points of  $f$ . That is,  $d \in D$  is the greatest post-fixed point of  $f$  iff:

- $d \sqsubseteq f(d)$  and
- $\forall x. x \sqsubseteq f(x) \Rightarrow x \sqsubseteq d$ .

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## Theorem

If  $d \in D$  is the greatest post-fixed point of  $f$ , then  $d$  is the greatest fixed-point of  $f$  [Ésik 2009, Proposition 2.1].



# Co-Inductive Types

## Remark

The inductive/co-inductive types can be defined/represented as least/greatest fixed-points of appropriated functions (functors).

Recall that the least and greatest fixed-points of a unary function  $f$  are denoted by  $\mu x.f(x)$  and  $\nu x.f(x)$ , respectively.

# Co-Inductive Types

## Example

Let  $1$  be the unity type, and  $+$  and  $\times$  be the operators for disjoint union and Cartesian product, respectively. Then

$$\text{Nat} := \mu X.1 + X,$$

$$\text{Conat} := \nu X.1 + X,$$

$$\text{List } A := \mu X.1 + (A \times X),$$

$$\text{Colist } A := \nu X.1 + (A \times X),$$

$$\text{Stream } A := \nu X.A \times X.$$

# Co-Inductive Types

## Remark

‘Due to the coincidence of least and greatest fixed-point types [Smyth and Plotkin 1982] in lazy languages such as [Haskell](#), the distinction between inductive and coinductive types is blurred in [partial](#) functional programming.’ [Abel 2014, p. 148]

# Co-Recursive Functions Guarded by Constructors

## Definition

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**Co-recursive function:** functions **into** an co-inductive type

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## Remark

Alternative names for co-recursion could be ‘non-wellfounded recursion’ or ‘baseless recursion’ [Moss and Danner 1997].

# Co-Recursive Functions Guarded by Constructors

## Condition

'Recursive calls must be protected by at least one constructor, and no other functions apart from constructors can be applied to them.' [Giménez 1995, p. 51]



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## Example

```
CoFixpoint from (n : nat) : Stream nat := n :: from (S n).
```

## Example

```
CoFixpoint alter : Stream bool := true :: false :: alter.
```

# Co-Recursive Functions Guarded by Constructors

## Example (counterexample)

### CoFixpoint

```
filter (A : Type)(P : A → bool)(xs : Stream A) : Stream A :=  
match xs with x' :: xs' =>  
  if P x' then x' :: filter P xs' else filter P xs'  
end.
```

The filter function is not guarded by constructors because there is not constructor to guard the recursive call in the else branch.

# Co-Recursive Functions Guarded by Constructors

## Auxiliary definition

```
Definition tail (A : Type)(xs : Stream A) : Stream A :=  
match xs with _ :: xs' => xs' end.
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## Example (counterexample)

```
CoFixpoint zeros : Stream nat := 0 :: tail zeros.
```

The zeros function is not guarded by constructors because there is a function (`tail`) applied to the recursive call which is not a constructor.

# Co-Recursive Functions Guarded by Constructors

## Example

From nat to Conat (recursive version).

```
Fixpoint nat2conat (n : nat) : Conat :=  
  match n with  
    | 0      => cozero  
    | S n'   => cosucc (nat2conat n')  
  end.
```

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Fixpoint nat2conat (n : nat) : Conat :=  
  match n with  
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    | S n' => cosucc (nat2conat n')  
  end.
```

From nat to Conat (co-recursive version).

```
CoFixpoint nat2conat (n : nat) : Conat :=  
  match n with  
    | 0    => cozero  
    | S n' => cosucc (nat2conat n')  
  end.
```

# Equality

Suitable notions of equality between potentially infinite terms can be defined as binary co-inductive relations.



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## Auxiliary definition

```
Definition head (A : Type)(xs : Stream A) : A :=  
match xs with x' :: _ => x' end.
```

## Example (equality on streams)

The equality between streams is defined by the co-inductive bisimilarity relation [Turner 1995].

```
CoInductive EqStream (A : Type) : Stream A → Stream A → Prop :=  
  eqS : ∀ xs ys : Stream A,  
    head xs = head ys →  
    EqStream (tail xs) (tail ys) →  
    EqStream xs ys.
```

# Equality

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**CoInductive** EqStream (A : **Type**) : Stream A → Stream A → **Prop** :=

eqS : ∀ xs ys : Stream A,  
    head xs = head ys →  
    EqStream (tail xs) (tail ys) →  
    EqStream xs ys.

**Notation** "xs ≈ ys" :=

(EqStream xs ys) (at level 70, no associativity).

# Co-Induction Principle

Co-induction principle, greatest fixed-point induction or Park's rule

Let  $F(X)$  be a functor, then

$$\forall X. X \sqsubseteq F(X) \Rightarrow X \sqsubseteq \nu X. F(X)$$

is the co-induction principle associated to  $F(X)$  [Dybjør and Sander 1989; Giménez and Casterán 2007].

# Co-Induction Principle

Example (co-induction principle associated to  $\approx$ )

The functor (bisimulation):

$$F(X, xs, ys) := \text{head } xs = \text{head } ys \wedge X(\text{tail } xs, \text{tail } ys)$$

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The functor (bisimulation):

$$F(X, xs, ys) := \text{head } xs = \text{head } ys \wedge X(\text{tail } xs, \text{tail } ys)$$

The co-induction principle:

$$\forall X. (\forall xs \forall ys. X(xs, ys) \Rightarrow F(X, xs, ys)) \Rightarrow \forall xs \forall ys. X(xs, ys) \Rightarrow \nu X. F(X, xs, ys)$$

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The **Coq** type:

```
co_ind :  $\forall$  A : Type,  $\forall$  R : Stream A  $\rightarrow$  Stream A  $\rightarrow$  Prop,  
  ( $\forall$  xs ys : Stream A, R xs ys  $\rightarrow$   
    head xs = head ys  $\wedge$  R (tail xs) (tail ys))  $\rightarrow$   
   $\forall$  xs ys : Stream A, R xs ys  $\rightarrow$  xs  $\approx$  ys
```

# Co-Induction Principle

## Example (the map-iterate property)

The property states that [Gibbons and Hutton 2005; Giménez and Casterán 2007]

$$\text{map } f (\text{iterate } f \ x) \approx \text{iterate } f (f \ x).$$

where



# Co-Induction Principle

## Example (the map-iterate property)

The property states that [Gibbons and Hutton 2005; Giménez and Casterán 2007]

`map f (iterate f x) ≈ iterate f (f x).`

where

### **CoFixpoint**

`map (A B : Type)(f : A → B)(xs : Stream A) : Stream B :=`

`match xs with x' :: xs' => f x' :: map f xs' end.`

**CoFixpoint** `iterate (A : Type)(f : A → A)(a : A) : Stream A :=`

`a :: iterate f (f a).`

# Co-Induction Principle

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The property states that [Gibbons and Hutton 2005; Giménez and Casterán 2007]

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where

### **CoFixpoint**

```
map (A B : Type)(f : A → B)(xs : Stream A) : Stream B :=  
  match xs with x' :: xs' => f x' :: map f xs' end.
```

```
CoFixpoint iterate (A : Type)(f : A → A)(a : A) : Stream A :=  
  a :: iterate f (f a).
```

See the proof in the source code in the course web page.

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