

Verification of Functional Programs

Preliminary Concepts

Andrés Sicard-Ramírez

EAFIT University

Semester 2014-1

What is a Type?

- A type is a set of values (and operations on them).

What is a Type?

- A type is a set of values (and operations on them).
- Types as ranges of significance of propositional functions. Let $\varphi(x)$ be a (unary) propositional function. The type of $\varphi(x)$ is the range within which x must lie if $\varphi(x)$ is to be a proposition (Russell 1938, Appendix B: The Doctrine of Types).

In modern terminology, Russell's types are domains of propositional functions.

What is a Type?

- A type is a set of values (and operations on them).
- Types as ranges of significance of propositional functions. Let $\varphi(x)$ be a (unary) propositional function. The type of $\varphi(x)$ is the range within which x must lie if $\varphi(x)$ is to be a proposition (Russell 1938, Appendix B: The Doctrine of Types).

In modern terminology, Russell's types are domains of propositional functions.

Example

Let $\varphi(x)$ be the propositional function ' x is a prime number'. Then $\varphi(x)$ is a proposition only when its argument is a natural number.

$$\begin{aligned}\varphi &: \mathbb{N} \rightarrow \{\text{False}, \text{True}\} \\ \varphi(x) &= x \text{ is a prime number.}\end{aligned}$$

What is a Type?

- 'A type is an approximation of a dynamic behaviour that can be derived from the form of an expression.' (Kiselyov and Shan 2008, p. 8)

What is a Type?

- 'A type is an approximation of a dynamic behaviour that can be derived from the form of an expression.' (Kiselyov and Shan 2008, p. 8)
- The propositions-as-types principle (Curry-Howard correspondence)

What is a Type?

- 'A type is an approximation of a dynamic behaviour that can be derived from the form of an expression.' (Kiselyov and Shan 2008, p. 8)
- The propositions-as-types principle (Curry-Howard correspondence)
- Homotopy Type Theory (HTT)

Propositions are types, but not all types are propositions (e.g. **higher-order** inductive types)

What is a Type?

Example (some Haskell's types)

- Type variables: `a`, `b`
- Type constants: `Int`, `Integer`, `Char`
- Function types: `Int → Bool`, `(Char → Int) → Integer`
- Product types: `(Int, Char)`, `(a, b)`
- Disjoint union types:

```
data Sum a b = Inl a | Inr b
```


Type Systems

- Over-sized slogan:

‘Well-type programs cannot “go wrong”.’ (Milner 1978, p. 348)

Type Systems

- Over-sized slogan:
‘Well-type programs cannot “go wrong”.’ (Milner 1978, p. 348)
- ‘A type system is a **tractable** syntactic method for proving the absence of **certain** program behaviors by classifying phrases according to the kinds of values they compute.’ (Pierce 2002, p. 1)

Referential Transparency

'We use [referential transparency] to refer to the fact of mathematics which says: The only thing that matters about an expression is its value, and **any subexpression can be replaced by any other equal in value.**' (Stoy 1977, p. 5).

Referential Transparency

‘We use [referential transparency] to refer to the fact of mathematics which says: The only thing that matters about an expression is its value, and **any subexpression can be replaced by any other equal in value.**’ (Stoy 1977, p. 5).

‘A language that supports the concept that **“equals can be substituted for equals”** in an expression without changing the value of the expression is said to be *referentially transparent*.’ (Abelson and Sussman 1996, p. 233).

Referential Transparency

Example

The following C program prints hello, world twice.

```
#include <stdio.h>

int
main (void)
{
    printf ("hello, world");
    printf ("hello, world");
    return 0;
}
```

Referential Transparency

Example

The following C program prints hello, world once.

```
#include <stdio.h>

int
main (void)
{
    int x;
    x = printf ("hello, world");
    x; x;
    return 0;
}
```

Referential Transparency

Example

The following [Haskell](#) program prints hello, world twice.

```
main :: IO ()  
main = putStr "hello, world" >> putStr "hello, world"
```

Referential Transparency

In *Haskell*, given

```
let x = exp  
in  ... x ... x ...
```

the meaning of ... x ... x ... is the same as ... exp ... exp ...

Referential Transparency

In **Haskell**, given

```
let x = exp  
in  ... x ... x ...
```

the meaning of ... x ... x ... is the same as ... exp ... exp ...

Example

The following **Haskell** program prints hello, world twice.

```
main :: IO ()  
main = let x :: IO ()  
        x = putStr "hello, world"  
    in x >> x
```

Referential Transparency

Example

The following [Haskell](#) program prints hello, world twice.

```
main :: IO ()  
main = x >> x  
  where x :: IO ()  
        x = putStr "hello, world"
```

Pure Functions

Side effects

'A side effect introduces a **dependency** between the **global state** of the system and the **behaviour** of a function... Side effects are essentially **invisible** inputs to, or outputs from, functions.' (O'Sullivan, Goerzen and Stewart 2008, p. 27).

Pure Functions

Side effects

'A side effect introduces a **dependency** between the **global state** of the system and the **behaviour** of a function... Side effects are essentially **invisible** inputs to, or outputs from, functions.' (O'Sullivan, Goerzen and Stewart 2008, p. 27).

Pure functions

'Take **all** their input as **explicit** arguments, and produce **all** their output as **explicit** results.' (Hutton 2007, p. 87).

Pure Functions

Are the following [GHC 7.8.2](#) functions, pure functions?

```
maxBound :: Int      -- Prelude  
os        :: String  -- System.Info
```

*From: https://wiki.haskell.org/Referential_transparency, 2014-02-25.

Pure Functions

Are the following **GHC** 7.8.2 functions, pure functions?

```
maxBound :: Int      -- Prelude  
os        :: String  -- System.Info
```

‘One perspective is that **Haskell** is not just one language (plus `Prelude`), but a family of languages, parametrized by a collection of **implementation-dependent** parameters. Each such language is RT, even if the collection as a whole might not be. Some people are satisfied with situation and others are not.’ *

*From: https://wiki.haskell.org/Referential_transparency, 2014-02-25.

Functions are First-Class Citizens

Source: Abelson and Sussman (1996)

- They can be passed as arguments and they can be returned as results (higher-order functions)
- They can be assigned to variables
- They can be stored in data structures

Bottom

Working with **functions** how handle undefined values yielded by **partial** functions or **non-terminating** functions?

Example

`head :: [a] → a`

`head (x : _) = x`

`head [] = ?`

Bottom

Working with **functions** how handle undefined values yielded by **partial** functions or **non-terminating** functions?

Example

```
head :: [a] → a
head (x : _) = x
head [] = ?
```

Example

```
fst :: (a, b) → a
fst (x, _) = x

ones :: [Int]
ones = 1 : ones

fst (ones, 10) = ?
```

Bottom

The \perp symbol represents the undefined value.
(\perp is represented in [Haskell](#) by the **undefined** keyword)

Example (first version)

```
head []          = undefined
fst (ones, 10) = undefined
```

*See 'Hussling Haskell types into Hasse diagrams' from Edward Z. Yang's blog on December 6, 2010.

Bottom

The \perp symbol represents the undefined value.
(\perp is represented in [Haskell](#) by the **undefined** keyword)

Example (first version)

```
head []      = undefined
fst (ones, 10) = undefined
```

Remark

The \perp value is polymorphic in [Haskell](#).

Remark

The [Haskell](#) types are lifted types.*

*See 'Hussling Haskell types into Hasse diagrams' from Edward Z. Yang's blog on December 6, 2010.

Example (second version)

$$\begin{aligned}\text{head } [] &= \perp_a \\ \text{fst } (\text{ones}, 10) &= \perp_{[\text{Int}]}\end{aligned}$$

Therefore, $\text{head } [] \neq \text{fst } (\text{ones}, 10)$.

Bottom

Example

```
foo :: Int → Int
```

```
foo 0 = 0
```

```
bar :: Int → Int
```

```
bar n = bar (n + 1)
```

```
foobar :: Int → Int
```

```
foobar n = if foo n == 0 then 1 else 2
```

Bottom

Example

```
foo :: Int → Int
```

```
foo 0 = 0
```

```
bar :: Int → Int
```

```
bar n = bar (n + 1)
```

```
foobar :: Int → Int
```

```
foobar n = if foo n == 0 then 1 else 2
```

Can we replace foo by bar in foobar?

Bottom

Example

`foo :: Int → Int`

`foo 0 = 0`

`bar :: Int → Int`

`bar n = bar (n + 1)`

`foobar :: Int → Int`

`foobar n = if foo n == 0 then 1 else 2`

Can we replace `foo` by `bar` in `foobar`? Only for $n \neq 0$.

Lazy Evaluation

See slides for the chapter 12 on the book by Hutton (2007):
<http://www.cs.nott.ac.uk/~gmh/book.html>.

Strict and Non-Strict Functions

Definition

Let f be a unary function. If $f \perp = \perp$ then f is a **strict** function, otherwise it is a **non-strict** function. The definition generalise to n -ary functions.

Example

The three function is non-strict.

```
three :: a → Int
```

```
three _ = 3
```

```
three undefined = 3
```

```
three (head []) = 3
```

```
three (fst (ones, 10)) = 3
```

```
three (putStr "hello, world") = 3
```

Strict and Non-Strict Functions

Example

`three :: a → Int`

`three _ = 3`

Non-strict reasoning...

$$(\forall x \in \text{Int})(\forall y)(x + \text{three } y = x + 3).$$

Strict and Non-Strict Functions

Example

(Why `Haskell` hasn't a predefined recursive data type for natural numbers?)

```
data Nat = Zero | Succ Nat
```

```
Zero :: Nat
```

```
Succ :: Nat → Nat
```

Strict and Non-Strict Functions

Example

(Why `Haskell` hasn't a predefined recursive data type for natural numbers?)

```
data Nat = Zero | Succ Nat
```

```
Zero :: Nat
```

```
Succ :: Nat → Nat
```

Is `Succ` a non-strict function?

Strict and Non-Strict Functions

Example

(Why `Haskell` hasn't a predefined recursive data type for natural numbers?)

```
data Nat = Zero | Succ Nat
```

```
Zero :: Nat
```

```
Succ :: Nat → Nat
```

Is `Succ` a non-strict function?

We can define

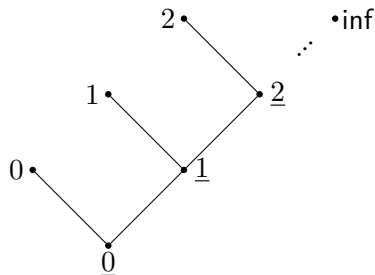
```
inf :: Nat
```

```
inf = Succ inf
```

Strict and Non-Strict Functions

Example (cont.)

Nat represents the **lazy** natural numbers, that is, $\text{Succ } \perp \neq \perp$ (Escardó 1993).



$$\begin{aligned}\underline{0} &= \perp, \\ \underline{n+1} &= \text{Succ } n, \\ \text{inf} &= \bigsqcup_{n \in \omega} \underline{n}\end{aligned}$$

Partially Ordered Sets

Definition

A **partially ordered set (poset)** (D, \sqsubseteq) is a set D on which the binary relation \sqsubseteq satisfies the following properties:

$$\forall x. x \sqsubseteq x \quad (\text{reflexive})$$

$$\forall x \forall y \forall z. x \sqsubseteq y \wedge y \sqsubseteq z \Rightarrow x \sqsubseteq z \quad (\text{transitive})$$

$$\forall x \forall y. x \sqsubseteq y \wedge y \sqsubseteq x \Rightarrow x = y \quad (\text{antisymmetry})$$

Partially Ordered Sets

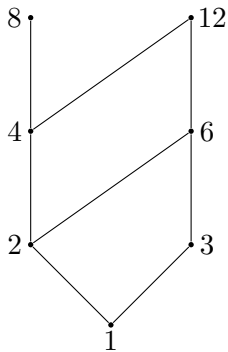
Examples

- (\mathbb{Z}, \leq) is a poset.
- Let $a, b \in \mathbb{Z}$ with $a \neq 0$. The divisibility relation is defined by $a \mid b := \exists c (ac = b)$. Then (\mathbb{Z}^+, \mid) is a poset.
- $(P(A), \subseteq)$ is a poset.

Partially Ordered Sets

Example

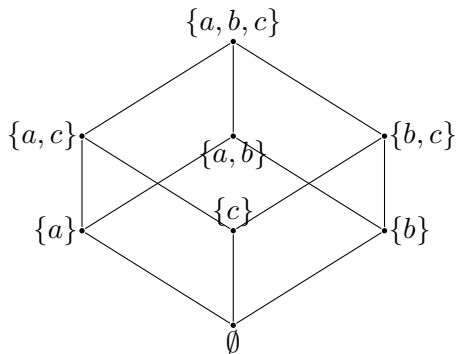
Hasse diagram for the poset $(\{1, 2, 3, 4, 6, 8, 12\}, |)$.



Partially Ordered Sets

Example

Hasse diagram for the poset $(\{a, b, c\}, \subseteq)$.



Monotone Functions

Definition

Let (D, \sqsubseteq) and (D', \sqsubseteq') be two posets. A function $f : D \rightarrow D'$ is **monotone** iff

$$\forall x \forall y. x \sqsubseteq y \Rightarrow f(x) \sqsubseteq' f(y).$$

Some Concepts of Fixed-Point Theory

Let D be a set, (D, \sqsubseteq) be a poset and f be a function $f : D \rightarrow D$.

Definition

An element $d \in D$ is a **fixed-point** of f iff

$$f(d) = d.$$

Some Concepts of Fixed-Point Theory

Let D be a set, (D, \sqsubseteq) be a poset and f be a function $f : D \rightarrow D$.

Definition

An element $d \in D$ is a **fixed-point** of f iff

$$f(d) = d.$$

Definition

The **least/greatest fixed-point** of f is least/greatest among the fixed-points of f .

Some Concepts of Fixed-Point Theory

Let D be a set, (D, \sqsubseteq) be a poset and f be a function $f : D \rightarrow D$.

Definition

An element $d \in D$ is a **fixed-point** of f iff

$$f(d) = d.$$

Definition

The **least/greatest fixed-point** of f is least/greatest among the fixed-points of f .

That is, $d \in D$ is the least/greatest fixed-point of f iff:

- $f(d) = d$ and
- $\forall x. f(x) = x \Rightarrow d \sqsubseteq x$ / $\forall x. f(x) = x \Rightarrow x \sqsubseteq d$.

Some Concepts of Fixed-Point Theory

Theorem

Let (D, \sqsubseteq) be a poset and $f : D \rightarrow D$ be monotone. Under certain conditions f has a least fixed-point (Winskel 1994) and a greatest fixed-point (Ésik 2009).

Some Concepts of Fixed-Point Theory

Theorem

Let (D, \sqsubseteq) be a poset and $f : D \rightarrow D$ be monotone. Under certain conditions f has a least fixed-point (Winskel 1994) and a greatest fixed-point (Ésik 2009).

Notation

The least and greatest fixed-points of f are denoted by $\mu x.f(x)$ and $\nu x.f(x)$, respectively.

Introduction to Domain Theory

Motivation: Does λ -calculus have models?



'Historically my first model for the λ -calculus was discovered in 1969 and details were provided in Scott (1972) (written in 1971).' (Scott 1980, p. 226.).

Introduction to Domain Theory

Non-standard definitions

pre-domain, domain, complete partial order (cpo), ω -cpo, bottomless ω -cpo, Scott's domain, ...

Convention

domain $\equiv \omega$ -complete partial order

ω -Complete Partial Orders

Definition

Let (D, \sqsubseteq) be a poset. A ω -**chain** of D is an increasing chain

$$d_0 \sqsubseteq d_1 \sqsubseteq \cdots \sqsubseteq d_n \sqsubseteq \cdots$$

where $d_i \in D$.

ω -Complete Partial Orders

Definition

Let (D, \sqsubseteq) be a poset. The poset D is a ω -**complete partial order** (ω -cpo) iff (Plotkin 1992):

1. There is a least element $\perp \in D$, that is, $\forall x. \perp \sqsubseteq x$. The element \perp is called *bottom*.
2. For every increasing ω -chain $d_0 \sqsubseteq d_1 \sqsubseteq \dots \sqsubseteq d_n \sqsubseteq \dots$, the least upper bound $\bigsqcup_{n \in \omega} d_n \in D$ exists.

ω -Complete Partial Orders

Definition

Let A be a set. The symbol A_{\perp} denotes the ω -cpo whose elements $A \cup \{\perp\}$ are ordered by

$$x \sqsubseteq y \quad \text{iff} \quad x = \perp \text{ or } x = y.$$

The ω -cpo A_{\perp} is called A **lifted** (Mitchell 1996).

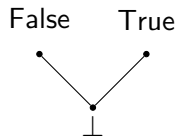
ω -Complete Partial Orders

Examples

The lifted unit type and the lifted Booleans B_{\perp} are ω -cpos.



data () = ()

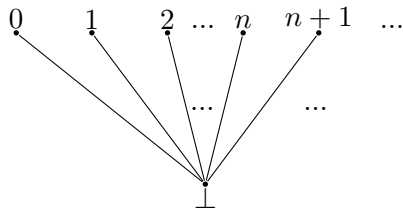


data Bool = True | False

ω -Complete Partial Orders

Example

The lifted natural numbers N_{\perp} .

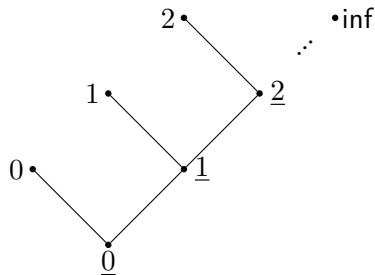


ω -Complete Partial Orders

Example

The lazy natural numbers ω -cpo.

data Nat = Zero | Succ Nat



$$\begin{aligned}\underline{0} &= \perp, \\ \underline{n+1} &= \text{Succ } n, \\ \text{inf} &= \bigsqcup_{n \in \omega} \underline{n}\end{aligned}$$

$$\bigsqcup_{n \in \omega} \underline{n} = \perp \sqsubseteq \text{Succ } \perp \sqsubseteq \text{Succ } (\text{Succ } \perp) \sqsubseteq \dots$$

Admissible Properties

Definition

Let D be a w -cpo. A property P (a subset of D) is w -**inductive** (**admissible**) iff whenever $\langle x_n \rangle_{n \in \omega}$ is an increasing sequence of elements in P , then $\bigsqcup_{n \in \omega} x_n$ is also in P , that is,

$$\forall n \in \omega. P(x_n) \Rightarrow P \left(\bigsqcup_{n \in \omega} x_n \right).$$

References

- Harold Abelson and Gerald Jay Sussman [1984] (1996). Structure and Interpretation of Computer Programs. 2nd ed. MIT Press (cit. on pp. [11](#), [12](#), [23](#)).
- Martín Hötzel Escardó (1993). On Lazy Natural Numbers with Applications to Computability Theory and Functional Programming. SIGACT News 24.1, pp. 61–67. DOI: [10.1145/152992.153008](#) (cit. on p. [38](#)).
- Zoltán Ésik (2009). Fixed Point Theory. In: Handbook of Weighted Automata. Ed. by Manfred Droste, Werner Kuich and Heiko Vogler. Monographs in Theoretical Computer Science. An EATCS Series. Springer. Chap. 2 (cit. on pp. [47](#), [48](#)).
- Graham Hutton (2007). Programming in Haskell. Cambridge University Press (cit. on pp. [19](#), [20](#), [32](#)).
- Oleg Kiselyov and Chung-chieh Shan (2008). Interpreting Types as Abstract Values. Formosan Summer School on Logic, Language and Computacion (FLOLAC 2008) (cit. on pp. [5–7](#)).
- Robin Milner (1978). A Theory of Type Polymorphism in Programming. Journal of Computer and System Sciences 17.3, pp. 348–375. DOI: [10.1016/0022-0000\(78\)90014-4](#) (cit. on pp. [9](#), [10](#)).
- John C. Mitchell (1996). Foundations for Programming Languages. MIT Press (cit. on p. [53](#)).

References

- Bryan O'Sullivan, John Goerzen and Don Stewart (2008). Real World Haskell. O'Really Media, Inc. (cit. on pp. 19, 20).
- Benjamin C. Pierce (2002). Types and Programming Languages. MIT Press (cit. on pp. 9, 10).
- Gordon Plotkin (1992). Post-graduate Lecture Notes in Advance Domain Theory (Incorporating the “Pisa Notes”). Electronic edition prepared by Yugo Kashiwagi and Hidetaka Kondoh. URL: <http://homepages.inf.ed.ac.uk/gdp/> (visited on 29/07/2014) (cit. on p. 52).
- Bertrand Russell [1903] (1938). The Principles of Mathematics. 2nd ed. W. W. Norton & Company, Inc (cit. on pp. 2–4).
- Dana Scott (1972). Continuous Lattices. In: Toposes, Algebraic Geometry and Logic. Ed. by F. W. Lawvere. Vol. 274. Lecture Notes in Mathematics. Springer, pp. 97–136. DOI: [10.1007/BFb0073967](https://doi.org/10.1007/BFb0073967) (cit. on p. 49).
- (1980). Lambda Calculus: Some Models, Some Philosophy. In: The Kleene Symposium. Ed. by Jon Barwise, H. Jerome Keisler and Kenneth Kunen. Vol. 101. Studies in Logic and the Foundations of Mathematics. North-Holland Publishing Company, pp. 223–265 (cit. on p. 49).
- Joseph Stoy (1977). Denotational Semantics: The Scott-Strachey Approach to Programming Language Theory. MIT Press (cit. on pp. 11, 12).

References

Glynn Winskel [1993] (1994). The Formal Semantics of Programming Languages. An Introduction. Foundations of Computing Series. Second printing. MIT Press (cit. on pp. 47, 48).