

# Verification of Functional Programs

## Preliminary Concepts

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## What is a Type?

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- Types as ranges of significance of propositional functions. Let  $\varphi(x)$  be a (unary) propositional function. The type of  $\varphi(x)$  is the range within which  $x$  must lie if  $\varphi(x)$  is to be a proposition (Russell 1938, Appendix B: The Doctrine of Types).

In modern terminology, Russell's types are domains of propositional functions.

# What is a Type?

- A type is a set of values (and operations on them).
- Types as ranges of significance of propositional functions. Let  $\varphi(x)$  be a (unary) propositional function. The type of  $\varphi(x)$  is the range within which  $x$  must lie if  $\varphi(x)$  is to be a proposition (Russell 1938, Appendix B: The Doctrine of Types).

In modern terminology, Russell's types are domains of propositional functions.

## Example

Let  $\varphi(x)$  be the propositional function 'x is a prime number'. Then  $\varphi(x)$  is a proposition only when its argument is a natural number.

$$\varphi : \mathbb{N} \rightarrow \{\text{False}, \text{True}\}$$

$$\varphi(x) = x \text{ is a prime number.}$$

## What is a Type?

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- 'A type is an approximation of a dynamic behaviour that can be derived from the form of an expression.' (Kiselyov and Shan 2008, p. 8)
- The propositions-as-types principle (Curry-Howard correspondence)
- Homotopy Type Theory (HTT)

Propositions are types, but not all types are propositions (e.g. **higher-order** inductive types)

# What is a Type?

## Example (some Haskell's types)

- Type variables: a, b
- Type constants: Int, Integer, Char
- Function types: Int  $\rightarrow$  Bool, (Char  $\rightarrow$  Int)  $\rightarrow$  Integer
- Product types: (Int, Char), (a, b)
- Disjoint union types:

```
data Sum a b = Inl a | Inr b
```

# Type Systems

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- Over-sized slogan:  
'Well-type programs cannot “go wrong”' (Milner 1978, p. 348)
- 'A type system is a **tractable** syntactic method for proving the absence of **certain** program behaviors by classifying phrases according to the kinds of values they compute.' (Pierce 2002, p. 1)

## Referential Transparency

'We use [referential transparency] to refer to the fact of mathematics which says: The only thing that matters about an expression is its value, and **any subexpression can be replaced by any other equal in value.**' (Stoy 1977, p. 5).

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'A language that supports the concept that "**equals can be substituted for equals**" in an expression without changing the value of the expression is said to be *referentially transparent*.' (Abelson and Sussman 1996, p. 233).

# Referential Transparency

## Example

The following C program prints hello, world twice.

```
#include <stdio.h>

int
main (void)
{
    printf ("hello, world");
    printf ("hello, world");
    return 0;
}
```

# Referential Transparency

## Example

The following C program prints hello, world once.

```
#include <stdio.h>

int
main (void)
{
    int x;
    x = printf ("hello, world");
    x; x;
    return 0;
}
```

# Referential Transparency

## Example

The following Haskell program prints `hello, world` twice.

```
main :: IO ()  
main = putStrLn "hello, world" >> putStrLn "hello, world"
```

# Referential Transparency

In Haskell, given

```
let x = exp  
in ... x ... x ...
```

the meaning of ... x ... x ... is the same as ... exp ... exp ...

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## Example

The following Haskell program prints hello, world twice.

```
main :: IO ()
main = let x :: IO ()
        x = putStrLn "hello, world"
    in x >> x
```

# Referential Transparency

## Example

The following Haskell program prints `hello, world` twice.

```
main :: IO ()  
main = x >> x  
  where x :: IO ()  
        x = putStrLn "hello, world"
```

# Pure Functions

## Side effects

'A side effect introduces a **dependency** between the **global state** of the system and the **behaviour** of a function... Side effects are essentially **invisible** inputs to, or outputs from, functions.' (O'Sullivan, Goerzen and Stewart 2008, p. 27).

# Pure Functions

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## Pure functions

'Take **all** their input as **explicit** arguments, and produce **all** their output as **explicit** results.' (Hutton 2007, p. 87).

## Pure Functions

Are the following [GHC 7.8.2](#) functions, pure functions?

```
maxBound :: Int      -- Prelude
os       :: String   -- System.Info
```

---

\*From: [https://wiki.haskell.org/Referential\\_transparency](https://wiki.haskell.org/Referential_transparency), 2014-02-25.

## Pure Functions

Are the following [GHC 7.8.2](#) functions, pure functions?

```
maxBound :: Int      -- Prelude
os        :: String   -- System.Info
```

‘One perspective is that [Haskell](#) is not just one language (plus Prelude), but a family of languages, parametrized by a collection of [implementation-dependent](#) parameters. Each such language is RT, even if the collection as a whole might not be. Some people are satisfied with situation and others are not.’ \*

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\*From: [https://wiki.haskell.org/Referential\\_transparency](https://wiki.haskell.org/Referential_transparency), 2014-02-25.

## Functions are First-Class Citizens

Source: Abelson and Sussman (1996)

- They can be passed as arguments and they can be returned as results (higher-order functions)
- They can be assigned to variables
- They can be stored in data structures

## Bottom

Working with **functions** how handle undefined values yielded by **partial** functions or **non-terminating** functions?

### Example

```
head :: [a] → a
head (x : _) = x
head [] = ?
```

## Bottom

Working with **functions** how handle undefined values yielded by **partial** functions or **non-terminating** functions?

### Example

```
head :: [a] → a
head (x : _) = x
head [] = ?
```

### Example

```
fst :: (a, b) → a
fst (x, _) = x
ones :: [Int]
ones = 1 : ones
fst (ones, 10) = ?
```

## Bottom

The  $\perp$  symbol represents the undefined value.  
( $\perp$  is represented in Haskell by the **undefined** keyword)

### Example (first version)

```
head []      = undefined
fst (ones, 10) = undefined
```

---

\*See 'Hussling Haskell types into Hasse diagrams' from Edward Z. Yang's blog on December 6, 2010.

## Bottom

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### Example (first version)

```
head []      = undefined
fst (ones, 10) = undefined
```

### Remark

The  $\perp$  value is polymorphic in Haskell.

### Remark

The Haskell types are lifted types.\*

---

\*See 'Hussling Haskell types into Hasse diagrams' from Edward Z. Yang's blog on December 6, 2010.

## Bottom

### Example (second version)

$$\begin{aligned}\text{head } [] &= \perp_a \\ \text{fst } (\text{ones}, 10) &= \perp_{[\text{Int}]}\end{aligned}$$

Therefore,  $\text{head } [] \neq \text{fst } (\text{ones}, 10)$ .

## Bottom

### Example

```
foo :: Int → Int
```

```
foo 0 = 0
```

```
bar :: Int → Int
```

```
bar n = bar (n + 1)
```

```
foobar :: Int → Int
```

```
foobar n = if foo n == 0 then 1 else 2
```

## Bottom

### Example

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foo :: Int → Int
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foo 0 = 0
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Can we replace foo by bar in foobar?

## Bottom

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bar :: Int → Int
```

```
bar n = bar (n + 1)
```

```
foobar :: Int → Int
```

```
foobar n = if foo n == 0 then 1 else 2
```

Can we replace `foo` by `bar` in `foobar`? Only for  $n \neq 0$ .

## Lazy Evaluation

See slides for the chapter 12 on the book by Hutton (2007):

<http://www.cs.nott.ac.uk/~gmh/book.html>.

# Strict and Non-Strict Functions

## Definition

Let  $f$  be a unary function. If  $f \perp = \perp$  then  $f$  is a **strict** function, otherwise it is a **non-strict** function. The definition generalise to  $n$ -ary functions.

## Example

The `three` function is non-strict.

```
three :: a → Int
three _ = 3
three undefined = 3
three (head []) = 3
three (fst (ones, 10)) = 3
three (putStr "hello, world") = 3
```

# Strict and Non-Strict Functions

## Example

```
three :: a → Int
three _ = 3
```

Non-strict reasoning...

$$(\forall x \in \text{Int})(\forall y)(x + \text{three } y = x + 3).$$

# Strict and Non-Strict Functions

## Example

(Why Haskell hasn't a predefined recursive data type for natural numbers?)

```
data Nat = Zero | Succ Nat
```

```
Zero :: Nat
```

```
Succ :: Nat → Nat
```

# Strict and Non-Strict Functions

## Example

(Why Haskell hasn't a predefined recursive data type for natural numbers?)

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Is Succ a non-strict function?

# Strict and Non-Strict Functions

## Example

(Why Haskell hasn't a predefined recursive data type for natural numbers?)

```
data Nat = Zero | Succ Nat
```

```
Zero :: Nat
```

```
Succ :: Nat → Nat
```

Is Succ a non-strict function?

We can define

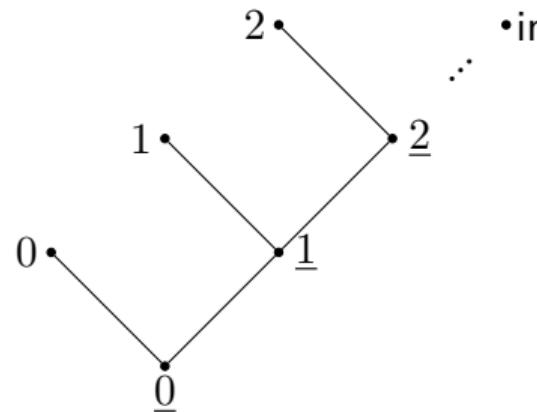
```
inf :: Nat
```

```
inf = Succ inf
```

# Strict and Non-Strict Functions

## Example (cont.)

Nat represents the **lazy** natural numbers, that is,  $\text{Succ } \perp \neq \perp$  (Escardó 1993).



$$\begin{aligned} \underline{0} &= \perp, \\ \underline{n+1} &= \text{Succ } \underline{n}, \\ \text{inf} &= \bigsqcup_{n \in \omega} \underline{n} \end{aligned}$$

# Partially Ordered Sets

## Definition

A **partially ordered set (poset)**  $(D, \sqsubseteq)$  is a set  $D$  on which the binary relation  $\sqsubseteq$  satisfies the following properties:

$$\forall x. x \sqsubseteq x \quad (\text{reflexive})$$

$$\forall x \forall y \forall z. x \sqsubseteq y \wedge y \sqsubseteq z \Rightarrow x \sqsubseteq z \quad (\text{transitive})$$

$$\forall x \forall y. x \sqsubseteq y \wedge y \sqsubseteq x \Rightarrow x = y \quad (\text{antisymmetry})$$

# Partially Ordered Sets

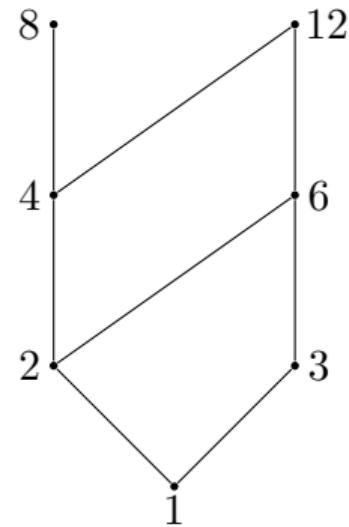
## Examples

- $(\mathbb{Z}, \leq)$  is a poset.
- Let  $a, b \in \mathbb{Z}$  with  $a \neq 0$ . The divisibility relation is defined by  $a \mid b := \exists c (ac = b)$ . Then  $(\mathbb{Z}^+, \mid)$  is a poset.
- $(P(A), \subseteq)$  is a poset.

# Partially Ordered Sets

## Example

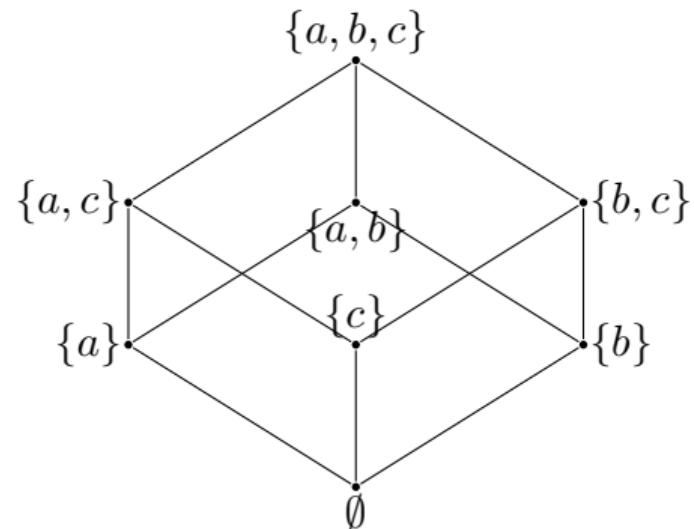
Hasse diagram for the poset  $(\{1, 2, 3, 4, 6, 8, 12\}, |)$ .



# Partially Ordered Sets

## Example

Hasse diagram for the poset  $(\{a, b, c\}, \subseteq)$ .



# Monotone Functions

## Definition

Let  $(D, \sqsubseteq)$  and  $(D', \sqsubseteq')$  be two posets. A function  $f : D \rightarrow D'$  is **monotone** iff

$$\forall x \forall y. x \sqsubseteq y \Rightarrow f(x) \sqsubseteq' f(y).$$

# Some Concepts of Fixed-Point Theory

Let  $D$  be a set,  $(D, \sqsubseteq)$  be a poset and  $f$  be a function  $f : D \rightarrow D$ .

## Definition

An element  $d \in D$  is a **fixed-point** of  $f$  iff

$$f(d) = d.$$

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The **least/greatest fixed-point** of  $f$  is least/greatest among the fixed-points of  $f$ .

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## Definition

The **least/greatest fixed-point** of  $f$  is least/greatest among the fixed-points of  $f$ .

That is,  $d \in D$  is the least/greatest fixed-point of  $f$  iff:

- $f(d) = d$  and
- $\forall x. f(x) = x \Rightarrow d \sqsubseteq x$  /  $\forall x. f(x) = x \Rightarrow x \sqsubseteq d$ .

# Some Concepts of Fixed-Point Theory

## Theorem

Let  $(D, \sqsubseteq)$  be a poset and  $f : D \rightarrow D$  be monotone. Under certain conditions  $f$  has a least fixed-point (Winskel 1994) and a greatest fixed-point (Ésik 2009).

# Some Concepts of Fixed-Point Theory

## Theorem

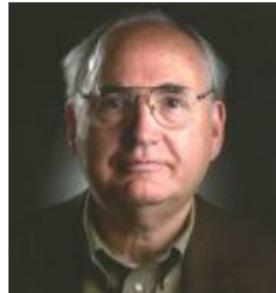
Let  $(D, \sqsubseteq)$  be a poset and  $f : D \rightarrow D$  be monotone. Under certain conditions  $f$  has a least fixed-point (Winskel 1994) and a greatest fixed-point (Ésik 2009).

## Notation

The least and greatest fixed-points of  $f$  are denoted by  $\mu x.f(x)$  and  $\nu x.f(x)$ , respectively.

# Introduction to Domain Theory

Motivation: Does  $\lambda$ -calculus have models?



‘Historically my first model for the  $\lambda$ -calculus was discovered in 1969 and details were provided in Scott (1972) (written in 1971).’ (Scott 1980, p. 226.).

# Introduction to Domain Theory

## Non-standard definitions

pre-domain, domain, complete partial order (cpo),  $\omega$ -cpo, bottomless  $\omega$ -cpo, Scott's domain, ...

## Convention

domain  $\equiv$   $\omega$ -complete partial order

# $\omega$ -Complete Partial Orders

## Definition

Let  $(D, \sqsubseteq)$  be a poset. A  **$\omega$ -chain** of  $D$  is an increasing chain

$$d_0 \sqsubseteq d_1 \sqsubseteq \cdots \sqsubseteq d_n \sqsubseteq \cdots$$

where  $d_i \in D$ .

# $\omega$ -Complete Partial Orders

## Definition

Let  $(D, \sqsubseteq)$  be a poset. The poset  $D$  is a  **$\omega$ -complete partial order** ( $\omega$ -cpo) iff (Plotkin 1992):

1. There is a least element  $\perp \in D$ , that is,  $\forall x. \perp \sqsubseteq x$ . The element  $\perp$  is called *bottom*.
2. For every increasing  $\omega$ -chain  $d_0 \sqsubseteq d_1 \sqsubseteq \dots \sqsubseteq d_n \sqsubseteq \dots$ , the least upper bound  $\bigsqcup_{n \in \omega} d_n \in D$  exists.

## $\omega$ -Complete Partial Orders

### Definition

Let  $A$  be a set. The symbol  $A_{\perp}$  denotes the  $\omega$ -cpo whose elements  $A \cup \{\perp\}$  are ordered by

$$x \sqsubseteq y \quad \text{iff} \quad x = \perp \text{ or } x = y.$$

The  $\omega$ -cpo  $A_{\perp}$  is called  $A$  **lifted** (Mitchell 1996).

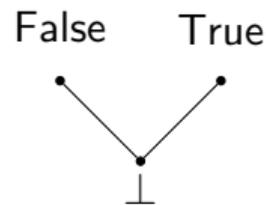
# $\omega$ -Complete Partial Orders

## Examples

The lifted unit type and the lifted Booleans  $B_\perp$  are  $\omega$ -cpos.



```
data () = ()
```

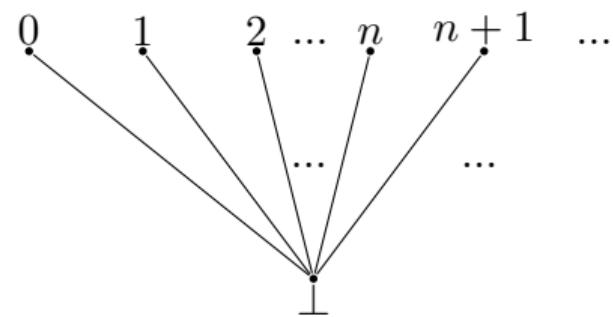


```
data Bool = True | False
```

# $\omega$ -Complete Partial Orders

## Example

The lifted natural numbers  $N_{\perp}$ .

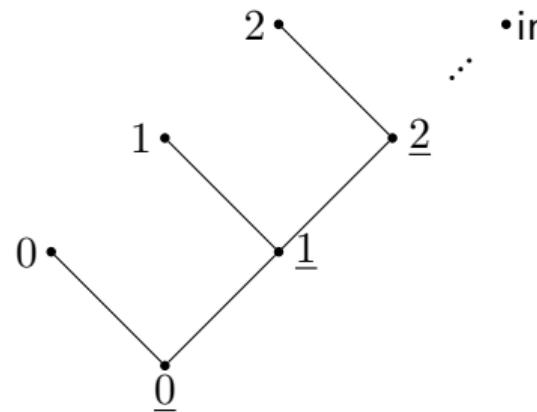


# $\omega$ -Complete Partial Orders

## Example

The lazy natural numbers  $\omega$ -cpo.

```
data Nat = Zero | Succ Nat
```



$$\begin{aligned}\underline{0} &= \perp, \\ \underline{n+1} &= \text{Succ } \underline{n}, \\ \underline{\inf} &= \bigsqcup_{n \in \omega} \underline{n}\end{aligned}$$

$$\bigsqcup_{n \in \omega} \underline{n} = \perp \sqsubseteq \text{Succ } \perp \sqsubseteq \text{Succ } (\text{Succ } \perp) \sqsubseteq \dots$$

# Admissible Properties

## Definition

Let  $D$  be a  $w$ -cpo. A property  $P$  (a subset of  $D$ ) is  **$w$ -inductive (admissible)** iff whenever  $\langle x_n \rangle_{n \in \omega}$  is an increasing sequence of elements in  $P$ , then  $\bigsqcup_{n \in \omega} x_n$  is also in  $P$ , that is,

$$\forall n \in \omega. P(x_n) \Rightarrow P\left(\bigsqcup_{n \in \omega} x_n\right).$$

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