CM0889 Analysis of Algorithms Introduction to Algorithms

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Course web page

http://www1.eafit.edu.co/asr/courses/cm0889-analysis-of-algorithms/

Textbook, evaluation, programming labs, course's repository, etc. See course web page.

Conventions

- The number assigned to chapters, examples, exercises, figures, sections, or theorems on these slides correspond to the numbers assigned in the textbook [Skiena 2012].
- The source code examples are in course's repository.

Designing correct, efficient, and implementable algorithms for real-world problems requires access to two distinct bodies of knowledge:

### • Techniques

Good algorithm designers understand several fundamental algorithm design techniques.

#### • Resources

Good algorithm designers stand on the shoulders of giants. [Preface, p. v]

# From Problems to Programs via Algorithms

Question

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No!

- Limitations when specifying the problem (no precise specification)
- Computation limitations (theoretical or practical)
- Ethical considerations and regulations

About solving problems

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Perhaps the single most important design technique is modeling, the art of abstracting a messy real-world application into a clean problem suitable for algorithmic attack. [p. v]

# From Problems to Programs via Algorithms

Steps when writing a computer program to solve a problem

- Problem formulation and specification
- Design of the solution (algorithm)
- Implementation
- Testing
- Documentation
- Evaluation
- Maintenance

In software engineering the above steps are part of the software development life cycle.

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#### Remark

Any informal definition of algorithm necessary will be imprecise (but the above definition is enough for our course).

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#### Discussion

Is any computer program the implementation of some algorithm?

## Paradigms of programming

Imperative/object-oriented: Describe computation in terms of state-transforming operations such as assignment. Programming is done with statements.

Logic: Predicate calculus as a programming language. Programming is done with sentences.

Functional: Describe computation in terms of (mathematical) functions. Programming is done with expressions.

#### Examples

Imperative/OO:	C, C++, JAVA, Python
Logic:	CLP(R), Prolog
Functional:	Erlang, Haskell, ML

Discussion

Does the algorithm for solving a problem depend of the programming language used for implementing it? Introduction

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## Sorting specification

Problem: Sorting

- Input: A sequence of n keys  $(a_1, a_2, \ldots, a_n)$ .
- Output: A permutation (reordering)  $(a'_1, a'_2, \ldots, a'_n)$  of the input sequence such that  $a'_1 \leq a'_2 \leq \cdots \leq a'_n$ .

# Example: Sorting

## Example

Input: (154, 245, 568, 324, 654, 324) Output: (154, 245, 324, 324, 568, 654)

## Example

## Example

Input:

Output (lexicographic order): Output (shortlex order): (Mike, Bob, Sam, Jill, Jan) (Bob, Jan, Jill, Mike, Sam) (Bob, Jan, Sam, Jill, Mike)

### An algorithm: Insertion sort

Insertion sort is a method for sorting that starts with a single element (thus forming a trivially sorted list) and then incrementally inserts the remaining elements so that the list stays sorted. [p. 3]

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See implementation and tests in sorting-test.c.

Specification

- Problem: Robot Tour Optimisation
- Input: A set P of n points in the plane.
- Output: The shortest cycle tour that visits each point in the set *P*.

See Skiena's lecture slides: Introduction to Algorithms

### Remarks about the closest-pair heuristic

In a vertex chain  $(v_1, v_2, ..., v_n)$ , the vertices  $v_1$  and  $v_n$  are the endpoints.

A single vertex chain is a vertex chain (v) with only a vertex.

In the nearest-neighbour heuristic, the algorithm only looks at the neighbours of the current vertex. In the closest-pair heuristic, the algorithm looks in all the neighbours of the partial vertex chain.

'The description states that every vertex always belongs either to a "single-vertex chain" (i.e., it's alone) or it belongs to one other chain; a vertex can only belong to one chain. The algorithm says at each step you select every possible pair of two vertices which are each an endpoint of the respective chain they belong to, and don't already belong to the same chain. Sometimes they'll be singletons; sometimes one or both will already belong to a non-trivial chain, so you'll join two chains.'\*

Introduction to Algorithms

<sup>\*</sup>From https://stackoverflow.com/a/7216814/1709190.

Specification

- Problem: Movie Scheduling Problem
- Input: A set I of n intervals on the line.
- Output: The largest subset of mutually non-overlapping intervals which can be selected from *I*.

See Skiena's lecture slides: Introduction to Algorithms

#### Take-Home Lesson

There is a fundamental difference between algorithms, which always produce a correct result, and heuristics, which may usually do a good job but without providing any guarantee. [p. 9]

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#### Take-Home Lesson

Reasonable-looking algorithms can easily be incorrect. Algorithm correctness is a property that must be carefully demonstrated. [p. 11]

#### Prerequisites

A well-specified problem (input/output correspondence):

- (i) The set of all allowed input instances.
- (ii) The required properties of the problem's output.

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Partial correctness: If an answer is returned it will be correct

**Total correctness:** Partial correctness + termination

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#### Remark

The (mathematical) proof of the (partial or total) correctness of an algorithm can be a non-easy task.

Remark

Reasoning about the correctness/verification of algorithms is different to the correctness/verification of programs.

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#### Remark

We talk about formal verification when the underlying proof is machine-checked. For a current survey, see [Nipkow, Eberl and Haslbeck 2020].

#### Demonstrating incorrectness

A counter-example is a direct way to prove the incorrectness of a heuristic. Think about small/extreme/related-to-the-decision-criteria examples...

Induction and recursion

To every recursively defined set there is a correspond induction principle.

Example

Whiteboard.

## Example

Prove the correctness of the following recursive algorithm for adding one to a natural number:

```
INCREMENT(n : \mathbb{N})

1 if n == 0

2 return 1

3 else

4 if (n \mod 2 == 1)

5 return (2 \cdot \text{INCREMENT}(\lfloor n/2 \rfloor))

6 else return (n + 1)
```

## Proof by strong induction

Let 
$$P(n)$$
: INCREMENT $(n) = n + 1$ .

We need to prove that  $(\forall n \in \mathbb{N})P(n)$ .

(i) Basis case P(0).

Since INCREMENT(0) = 1 by line 2, then P(0) holds.

(ii) Inductive step  $(P(1) \land P(2) \land \dots \land P(k-1)) \rightarrow P(k)$ .

a) Case: k-1 is odd

Since k is even and INCREMENT(k) = k + 1 by line 6, then P(k) holds.

## Reasoning about the Correctness of Algorithms

## Proof by strong induction (continuation)

- ii) Inductive step  $(P(1) \land P(2) \land \dots \land P(k-1)) \rightarrow P(k).$ 
  - b) Case: k-1 is even

The statement  $(P(1) \land P(2) \land \dots \land P(k-1)) \rightarrow P(k)$  holds because

INCREMENT(k)

- = INCREMENT(2m+1) (k is odd
- $= 2 \cdot \operatorname{increment}(\lfloor (2m+1)/2 \rfloor)$
- $= 2 \cdot \operatorname{increment}(\lfloor m + 1/2 \rfloor)$
- $= 2 \cdot \text{increment}(m)$
- $= 2 \cdot (m+1)$
- = k + 1

(k is odd)
(line 5)
(algebra)
(algebra)
(m < k and IH)</pre>

## References

- Aho, Alfred V., Hopcroft, John E. and Ullman, Jeffrey D. [1983] (1985). Data Structures and Algorithms. Reprinted with corrections. Addison-Wesley (cit. on pp. 7, 8, 10–16).
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