

# CM0859 – MT5009 Type Theory

## Martin-Löf's Type Theory

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# Preliminaries

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## Textbook

Mimram ([2000] 2025). Program = Proof.

## Other references

Martin-Löf (1975). An Intuitionistic Theory of Types: Predicate Part.

Martin-Löf (1985). Constructive Mathematics and Computer Programming.

Rijke (2022). Introduction to Homotopy Type Theory.

## Conventions

- The numbers and page numbers assigned to chapters, examples, exercises, figures, quotes, sections and theorems on these slides correspond to the numbers assigned in the textbook.
- The words “term” and “element” are synonymous. Both words are used interchangeably in these slides.

# Introduction

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- Martin-Löf Type Theory (MLTT) is also called Constructive Type Theory or Intuitionistic Type Theory.
- MLTT is a foundational system for constructive mathematics.
- MLTT is a dependent type theory.
- MLTT is the basis of some proof assistants.
- There are various versions of MLTT proposed by Martin-Löf and by other.
- MLTT is an open theory.

# Dependent Types

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- Primitive types

Char, Bool, Nat, Int, ...

- Compound types

$\text{Nat} \rightarrow \text{Bool}$  (non-dependent function type)

$\text{Bool} \times \text{Char}$  (non-dependent product type)

- Types parameterised by types

List Char, List Int, Maybe Bool, Either String Int, ...

- Dependent types: Types parameterised by terms (elements)

Vec Char 2 (vector of characters of length 2)

$\Pi(n : \text{Nat}). \text{Vec Char } n$  (dependent function type)

# Inference Rules

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## Definition

MLTT is a formal system defined by **inference rules** of the form

$$\frac{J_1 \quad \dots \quad J_n}{J} \text{ rule name}$$

where  $J$  is the **conclusion**,  $J_1, \dots, J_n$  are the **premises** (or **hypotheses**) and  $J, J_1, \dots, J_n$  are judgements.

# Core Dependent Type Theory

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Our presentation of MLTT starts by introducing a core dependent type theory (CDTT) which only contains dependent function types.

# Expressions

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## Definition

**Expressions** of CDTT are defined by the following grammar:

|                   |                           |
|-------------------|---------------------------|
| $e, e' ::= x$     | (variable)                |
| $e e'$            | (application)             |
| $\lambda x^e. e'$ | ( $\lambda$ -abstraction) |
| $\Pi(x : e). e'$  | (dependent function type) |
| <b>Type</b>       | (the type of small types) |

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| $\text{Type}$     | (the type of small types) |

## Convention

We shall write  $t, u$  and  $A, B$  for expressions thought of as terms and as types, respectively.



# Expressions

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## Definition

In the expressions  $\lambda x^A.t$  and  $\Pi(x : A).B$  the variable  $x$  is bounded. The set of **free variables** of an expression  $e$ , denoted  $\text{FV}(e)$ , is recursively defined by:

$$\begin{aligned}\text{FV}(x) &= \{x\}, \\ \text{FV}(tu) &= \text{FV}(t) \cup \text{FV}(u), \\ \text{FV}(\lambda x^A.y) &= \text{FV}(A) \cup (\text{FV}(y) - \{x\}), \\ \text{FV}(\Pi(x : A).B) &= \text{FV}(A) \cup (\text{FV}(B) - \{x\}), \\ \text{FV}(\text{Type}) &= \emptyset.\end{aligned}$$

# Substitution

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## Definition

The result of substituting an expression  $u$  for every free occurrence of a variable  $x$  in an expression  $e$ , denoted by  $e[x := u]$ , is defined recursively by:

$$x[x := u] = u;$$

$$y[x := u] = y,$$

if  $x \neq y$ ;

$$(t t')[x := u] = (t[x := u]) (t'[x := u]);$$

$$(\lambda y^A. t)[x := u] = \lambda y^{A[x := u]}. t[x := u],$$

with  $y \notin \text{FV}(u) \cup \{x\}$ ;

$$(\Pi(y : A). B)[x := u] = \Pi(y : A[x := u]). B[x := u],$$

with  $y \notin \text{FV}(u) \cup \{x\}$ ;

$$\text{Type}[x := u] = \text{Type}.$$

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<sup>†</sup>In the textbook the substitution  $e[x := u]$  is denoted by  $e[u/x]$ .

# Contexts

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## Definition

A **context**  $\Gamma$  is finite list of **variable declarations**

$$\Gamma = x_1 : A_1, x_2 : A_2, \dots, x_n : A_n,$$

where the  $x_i$  are variables and the  $A_i$  are expressions.

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## Observation

Note that the expression  $A_i$  can depend of variables  $x_1, x_2, \dots, x_{i-1}$ .

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## Observation

Note that the expression  $A_i$  can depend of variables  $x_1, x_2, \dots, x_{i-1}$ .

## Notation

Sometimes the empty context is written  $\emptyset$ .

# Definitional Equality

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## Description

**Definitional equality** (or **judgemental equality** or **conversion**) in MLTT is a notion of equality where two expressions are considered equal if they are syntactically identical or can be transformed into one another through computation ( $\beta$ -equality,  $\eta$ -equality, etc). This relation shall be denoted by ' $\doteq$ '.

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<sup>†</sup>The textbook denotes the definitional equality by ' $=$ '. We follow the notation in (Rijke 2022).



# Definitional Equality

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## Features

- The definitional equality is an equivalence relation.
- We can substitute types by equal ones.
- We need to add congruence rules for each new type.

# Judgements

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## Definition

There are three **judgements** in CDTT.

- (i)  $\vdash \Gamma \text{ ctx}$  ( $\Gamma$  is a **well-formed context**)
- (ii)  $\Gamma \vdash t : A$  ( $t$  is a expression of **type**  $A$  in the context  $\Gamma$ )
- (iii)  $\Gamma \vdash t \doteq u : A$  ( $t$  and  $u$  are **definitionally equal** expressions of type  $A$  in context  $\Gamma$ )

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<sup>†</sup>In the textbook the contexts are defined using sequents. We use a different notation for avoiding them. The judgement  $\Gamma \vdash$  in the textbook is replaced by  $\vdash \Gamma \text{ ctx}$ .

# Types and Terms

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## Types and terms

*“There is no syntactic distinction between terms and types: both are expressions. The logic will however allow us to distinguish between the two. An expression  $A$  for which  $\Gamma \vdash A : \text{Type}$  is derivable for some context  $\Gamma$  is called a **type**. An expression  $t$  for which  $\Gamma \vdash t : A$  is derivable for some context  $\Gamma$  and type  $A$  is called a **term**.” (p. 364)*

# Rules for Contexts

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## Definition

The **well-formed contexts** are defined by the following rules:

$$\frac{}{\vdash \emptyset \text{ ctx},} \qquad \frac{\Gamma \vdash A : \text{Type}}{\vdash \Gamma, x : A \text{ ctx.}}$$

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The **well-formed contexts** are defined by the following rules:<sup>†</sup>

$$\frac{}{\vdash \emptyset \text{ ctx},} \qquad \frac{\Gamma \vdash A : \text{Type}}{\vdash \Gamma, x : A \text{ ctx}.}$$

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<sup>†</sup>The rules in the textbook uses sequents. We use different rules for avoiding them.

# Definitional Equality

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## Equivalence relation

The definitional equality is a relation of equivalence on terms.

$$\frac{\Gamma \vdash t : A}{\Gamma \vdash t \doteq t : A,} \text{ refl}$$

$$\frac{\Gamma \vdash t \doteq u : A}{\Gamma \vdash u \doteq t : A,} \text{ sym}$$

$$\frac{\Gamma \vdash t \doteq u : A \quad \Gamma \vdash u \doteq v : A}{\Gamma \vdash t \doteq v : A.} \text{ trans}$$

# Definitional Equality

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## Substitution of equal types

We can substitute a type by an equal one.

$$\frac{\Gamma \vdash t : A \quad \Gamma \vdash A \doteq B : \text{Type}}{\Gamma \vdash t : B,}$$

$$\frac{\Gamma \vdash t \doteq u : A \quad \Gamma \vdash \Gamma \vdash A \doteq B : \text{Type}}{\Gamma \vdash t \doteq u : A.}$$



# Terms and Rules for Type Constructors

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## Description

For each new type constructor we shall need:

- Three constructions for expressions
  - (1) a constructor for the type,
  - (2) a constructor for the terms of this type,
  - (3) an eliminator for the terms of this type.
- Six inference rules
  - (1) formation: construct a type with the type constructor,
  - (2) introduction: construct a term of the type,
  - (3) elimination: use a term of the type,
  - (4) computation:  $\beta$ -reduces a term of the type,
  - (5) uniqueness: express a uniqueness property of the constructed terms, which corresponds to an  $\eta$ -equivalence rule,
  - (6) congruence: express that definitional equality is compatible with the term constructors.

# Dependent Function Types ( $\Pi$ -types)

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- Formation rule and associated congruence rule

$$\frac{\Gamma \vdash A : \text{Type} \quad \Gamma, x : A \vdash B : \text{Type}}{\Gamma \vdash \Pi(x : A).B : \text{Type},} \Pi F$$

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$$\frac{\Gamma \vdash A \doteq A' : \text{Type} \quad \Gamma, x : A \vdash B \doteq B' : \text{Type}}{\Gamma \vdash \Pi(x : A).B \doteq \Pi(x : A').B' : \text{Type}.} \Pi F \doteq$$

# Dependent Function Types ( $\Pi$ -types)

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- Introduction rule and associated congruence rule

$$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x^A. t : \Pi(x : A). B.} \quad \Pi I$$

# Dependent Function Types ( $\Pi$ -types)

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- Introduction rule and associated congruence rule

$$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x^A. t : \Pi(x : A). B.} \Pi I$$

$$\frac{\Gamma \vdash A \doteq A' : \mathbf{Type} \quad \Gamma, x : A \vdash t \doteq t' : B}{\Gamma \vdash \lambda x^A. t \doteq \lambda x^{A'}. t' : \Pi(x : A). B.} \Pi \dot{=}$$

# Dependent Function Types ( $\Pi$ -types)

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- Elimination rule and associated congruence rule

$$\frac{\Gamma \vdash t : \Pi(x : A).B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B[x := u].} \Pi E$$

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$$\frac{\Gamma \vdash t \doteq t' : \Pi(x : A).B \quad \Gamma \vdash u \doteq u' : A}{\Gamma \vdash t u \doteq t' u' : B[x := u].} \Pi E^{\doteq}$$



# Dependent Function Types ( $\Pi$ -types)

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- Computation rule

$$\frac{\Gamma, x : A \vdash t : B \quad \Gamma \vdash u : A}{\Gamma \vdash (\lambda x^A. t) u \doteq t[x := u] : B[x := u].} \Pi\beta, \Pi C$$

# Dependent Function Types ( $\Pi$ -types)

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- Uniqueness rule

$$\frac{\Gamma \vdash t : \Pi(x : A).B}{\Gamma \vdash t \doteq \lambda x^A. t x : \Pi(x : A).B.} \Pi\eta, \Pi\mathbf{U}$$

# Dependent Function Types ( $\Pi$ -types)

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## Observations

- The dependent function type  $\Pi(x : A).B$  is also denoted  
 $\Pi_{(x:A)}B(x)$ ,  
 $(x : A) \rightarrow B(x)$  or  
 $(x : A) \rightarrow B$ .

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$$A \rightarrow B := \Pi(- : A).B.$$

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- Under the propositions-as-types principle the dependent function type  $\Pi(x : A).B$  corresponds to the universal quantifier  $(\forall x \in A)B$ .

## References

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## References

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