

# CM0081 Formal Languages and Automata

## § 8.2 Turing Machines

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# Preliminaries

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## Conventions

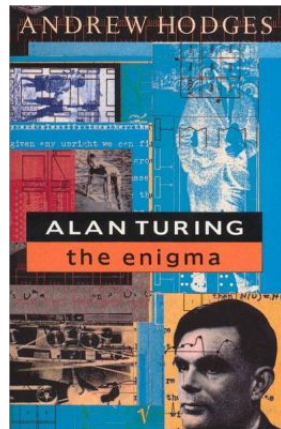
- ▶ The number and page numbers assigned to chapters, examples, exercises, figures, quotes, sections and theorems on these slides correspond to the numbers assigned in the textbook [Hopcroft, Motwani and Ullman (1979) 2007].
- ▶ The natural numbers include the zero, that is,  $\mathbb{N} = \{0, 1, 2, \dots\}$ .
- ▶ The power set of a set  $A$ , that is, the set of its subsets, is denoted by  $\mathcal{P}A$ .

# Introduction

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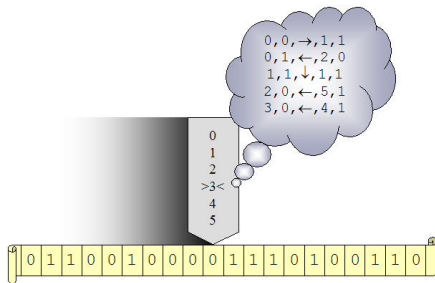


Alan Mathison Turing  
(1912 – 1954)



# Introduction

- ▶ **Unbounded** tape divided into discrete squares which contain symbols from a finite alphabet.
- ▶ Read/Write head.
- ▶ **Finite** set of instructions (transition function).
- ▶ Move of a Turing machine:  
From the current state and the tape symbol under the head: change state, rewrite the symbol and move the head one square.



# Turing Machines

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## Definition

A **Turing machine** is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, B, F)$  where

$Q$ : A finite set of states

$\Sigma$ : An alphabet of input symbols

$\Gamma$ : An alphabet of tape symbols ( $\Sigma \subseteq \Gamma$ )

$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times D$ : A transition (partial) function

( $D = \{L, R\}$  set of movements)

$q_0 \in Q$ : A start state

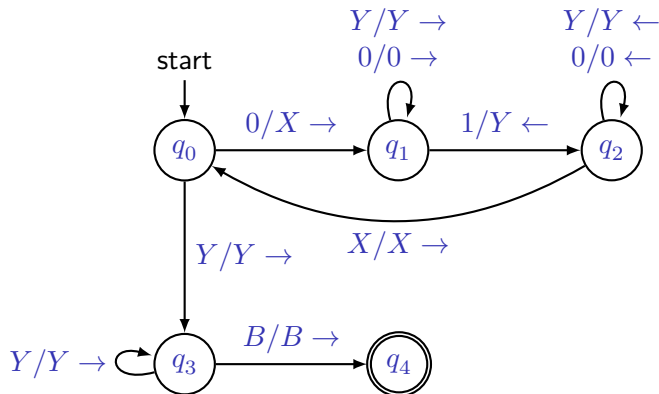
$B$ : The blank symbol ( $B \in \Gamma, B \notin \Sigma$ )

$F \subseteq Q$ : A set of final or accepting states

# Transition Diagrams for Turing Machines

## Example

Let  $\Sigma = \{0, 1\}$  and  $\Gamma = \{0, 1, X, Y, B\}$ .



# Transition Tables for Turing Machines

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## Example

The machine of the previous example is given by

$$M = (\{q_0, q_1, q_2, q_3, q_4\}, \{0, 1\}, \{0, 1, X, Y, B\}, \delta, q_0, B, \{q_4\}),$$

where  $\delta$  is given by

state	symbol				
	0	1	$X$	$Y$	$B$
$q_0$	$(q_1, X, R)$	—	—	$(q_3, Y, R)$	—
$q_1$	$(q_1, 0, R)$	$(q_2, Y, L)$	—	$(q_1, Y, R)$	—
$q_2$	$(q_2, 0, L)$	—	$(q_0, X, R)$	$(q_2, Y, L)$	—
$q_3$	—	—	—	$(q_3, Y, R)$	$(q_4, B, R)$
$q_4$	—	—	—	—	—

# Quintuples for Turing Machines

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## Example

The machine of the previous example is given by

$$M = (\{q_0, q_1, q_2, q_3, q_4\}, \{0, 1\}, \{0, 1, X, Y, B\}, \delta, q_0, B, \{q_4\}),$$

where  $\delta$  is given by

$q_0, 0, X, R, q_1$	$q_1, 0, 0, R, q_1$	$q_2, 0, 0, L, q_2$	$q_3, Y, Y, R, q_3$
$q_0, Y, Y, R, q_3$	$q_1, 1, Y, L, q_2$	$q_2, X, X, R, q_0$	$q_3, B, B, R, q_4$
	$q_1, Y, Y, R, q_1$	$q_2, Y, Y, L, q_2$	



# Instantaneous Descriptions for Turing Machines

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## Definition

An **instantaneous description** of a Turing machine is a string

$$X_1X_2 \cdots X_{i-1}qX_iX_{i+1} \cdots X_n,$$

where

- (i)  $q$  is the state of the Turing machine,
- (ii) the head is scanning the  $i$ -th symbol from the left and
- (iii)  $X_1X_2 \cdots X_n$  is the portion of the tape between the leftmost and rightmost non-blank.

# Instantaneous Descriptions for Turing Machines

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## Notation

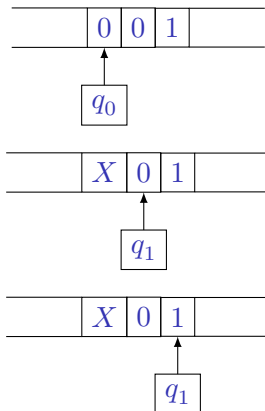
Move of the Turing machine  $M$  from an instantaneous description to another is denoted by  $\vdash_M$ .

Zero or more moves of the Turing machine  $M$  are denoted by  $\vdash_M^*$ .

# Instantaneous Descriptions for Turing Machines

## Example

For the machine of the previous example we have



$$q_0 001 \vdash_M X q_1 01$$

$$q_0 001 \vdash_M X q_1 01 \vdash_M X 0 q_1 1$$

$$q_0 001 \stackrel{*}{\vdash}_M X 0 q_1 1$$

# Recursively Enumerable Languages

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## Definition

Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$  be a Turing machine. The **language accepted** by  $M$  is defined by

$$L(M) := \left\{ w \in \Sigma^* \mid q_0 w \stackrel{*}{\vdash}_M \alpha p \beta \right\},$$

where  $p \in F$  and  $\alpha, \beta \in \Gamma^*$ .

# Recursively Enumerable Languages

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## Definition

A language  $L$  is **recursively enumerable** iff exists a Turing machine  $M$  such that  $L = L(M)$ .

# Recursively Enumerable Languages

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## Definition

A language  $L$  is **recursively enumerable** iff exists a Turing machine  $M$  such that  $L = L(M)$ .

## Example

Let  $M$  be the machine described by the previous diagram. Then

$$L(M) = \{ 0^n 1^n \mid n \geq 1 \}.$$

See the simulation in the course website.

# Recursive Languages

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## Convention

We assume that a Turing machine **halts** if it accepts.

# Recursive Languages

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What about if a Turing machine **does not accept**?



# Recursive Languages

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We assume that a Turing machine **halts** if it accepts.

What about if a Turing machine **does not accept**?

## Recall

Recall that a language  $L$  is recursively enumerable iff exists a Turing machine  $M$  such that  $L = L(M)$ .

# Recursive Languages

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## Convention

We assume that a Turing machine **halts** if it accepts.

What about if a Turing machine **does not accept**?

## Recall

Recall that a language  $L$  is recursively enumerable iff exists a Turing machine  $M$  such that  $L = L(M)$ .

## Definition

A language  $L$  is **recursive** iff exists a Turing machine  $M$  such that

- (i)  $L = L(M)$  and
- (ii)  $M$  **always** halt (even if it does not accept).

# Turing Machine Computable Functions

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## Definition

A **number-theoretic function** is a function whose signature is

$$\mathbb{N}^k \rightarrow \mathbb{N}, \text{ with } k \in \mathbb{N}.$$

# Turing Machine Computable Functions

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## Example

Number-theoretic functions.

$$z(n) = 0$$

(**zero** function)

$$s(n) = n + 1$$

(**successor** function)

$$U_k^l(n_1, \dots, n_l) = n_k$$

(**projection** functions)

$$\text{id}(n) = n$$

(**identity** function)

$$C_k^l(n_1, \dots, n_l) = k$$

(**constant** functions)

$$m + n$$

(**addition** function)

$$m \cdot n$$

(**multiplication** function)

$$m^n$$

(**exponentiation** function)

$$n!$$

(**factorial** function)

# Turing Machine Computable Functions

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## Example

Number-theoretic functions.

$$\text{pred}(n) = \begin{cases} 0, & \text{if } n = 0; \\ n - 1, & \text{otherwise;} \end{cases} \quad (\text{predecessor function})$$

$$m \dot{-} n = \begin{cases} m - n, & \text{if } m \geq n; \\ 0, & \text{otherwise;} \end{cases} \quad (\text{truncated subtraction function})$$

$$|m - n| = \begin{cases} m \dot{-} n, & \text{if } m \geq n; \\ n \dot{-} m, & \text{otherwise;} \end{cases} \quad (\text{absolute difference function})$$

# Turing Machine Computable Functions

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## Example

Number-theoretic functions.

$$\text{sg}(n) = \begin{cases} 0, & \text{if } n = 0; \\ 1, & \text{otherwise;} \end{cases} \quad (\textbf{signum} \text{ function})$$

$$\overline{\text{sg}}(n) = \begin{cases} 1, & \text{if } n = 0; \\ 0, & \text{otherwise.} \end{cases} \quad (\textbf{inverse signum} \text{ function})$$

# Turing Machine Computable Functions

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Codification of  $k$ -tuples of natural numbers

$$\vec{n} := 0^n = \underbrace{0 \cdots 0}_{n \text{ times}},$$

for  $n \in \mathbb{N}$ ;

$$\overrightarrow{(n_1, n_2, \dots, n_k)} := \vec{n_1} 1 \vec{n_2} 1 \cdots 1 \vec{n_k},$$

for  $(n_1, n_2, \dots, n_k) \in \mathbb{N}^k$ .

# Turing Machine Computable Functions

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## Definition

A unary function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is **Turing machine computable** iff exists a machine  $M = (Q, \{0, 1\}, \Gamma, \delta, q_0, B)$  (there are not accepting states), such that for all  $n \in \mathbb{N}$ , from the initial instantaneous description  $q_0 \vec{n}$  the machine **halts** with  $\overrightarrow{f(n)}$  on its tape, surrounded by blanks.



# Turing Machine Computable Functions

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A unary function  $f : \mathbb{N} \rightarrow \mathbb{N}$  is **Turing machine computable** iff exists a machine  $M = (Q, \{0, 1\}, \Gamma, \delta, q_0, B)$  (there are not accepting states), such that for all  $n \in \mathbb{N}$ , from the initial instantaneous description  $q_0 \vec{n}$  the machine **halts** with  $\overrightarrow{f(n)}$  on its tape, surrounded by blanks.

## Observation

The definition can be extended to functions  $f : \mathbb{N}^k \rightarrow \mathbb{N}$ .

# Turing Machine Computable Functions

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## Example

The truncated subtraction function is Turing machine computable.

$$m \dot{-} n = \begin{cases} m - n, & \text{if } m \geq n; \\ 0, & \text{otherwise.} \end{cases}$$

Initial instantaneous description:  $q_0 0^m 1 0^n$

Final information on the tape:  $0^{m \dot{-} n}$

See the simulation in the course homepage.

# Turing Machine Computable Functions

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## Example

All the number-theoretic functions in the previous examples are Turing machine computable functions.

# Equivalence between Function Computation and Language Recognition

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## Exercise 8.2.4

- Define the graph of a function  $f : \mathbb{N} \rightarrow \mathbb{N}$  to be the set of all strings of the form  $[\vec{n}, \overrightarrow{f(n)}]$ .

# Equivalence between Function Computation and Language Recognition

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## Exercise 8.2.4

- ▶ Define the graph of a function  $f : \mathbb{N} \rightarrow \mathbb{N}$  to be the set of all strings of the form  $[\vec{n}, \overrightarrow{f(n)}]$ .
- ▶ A Turing machine is said to compute the function  $f : \mathbb{N} \rightarrow \mathbb{N}$  if, started with  $\vec{n}$  on its tape, it halts (in any state) with  $\overrightarrow{f(n)}$  on its tape.

(continued on next slide)

# Equivalence between Function Computation and Language Recognition

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## Exercise 8.2.4 (continuation)

Answer the following, with informal, but clear constructions.

# Equivalence between Function Computation and Language Recognition

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## Exercise 8.2.4 (continuation)

Answer the following, with informal, but clear constructions.

1. Show how, given a Turing machine that computes  $f$ , you can construct a Turing machine that accepts the graph of  $f$  as a language.

# Equivalence between Function Computation and Language Recognition

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## Exercise 8.2.4 (continuation)

Answer the following, with informal, but clear constructions.

1. Show how, given a Turing machine that computes  $f$ , you can construct a Turing machine that accepts the graph of  $f$  as a language.
2. Show how, given a Turing machine that accepts the graph of  $f$ , you can construct a Turing machine that computes  $f$ .



# Equivalence between Function Computation and Language Recognition

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## Exercise 8.2.4 (continuation)

Answer the following, with informal, but clear constructions.

1. Show how, given a Turing machine that computes  $f$ , you can construct a Turing machine that accepts the graph of  $f$  as a language.
2. Show how, given a Turing machine that accepts the graph of  $f$ , you can construct a Turing machine that computes  $f$ .
3. A function is said to **partial** if it may be undefined for some arguments. If we extend the ideas of this exercise to partial functions, then we do not require that the Turing machine computing  $f$  halts if its input  $n$  is one of the natural numbers for which  $f(n)$  is not defined.

Do your constructions for parts (1) and (2) work if the function  $f$  is partial? If not, explain how you could modify the constructions to make it work.

# Restrictions to Turing Machines

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## Restrictions

- ▶ Turing machines with semi-unbounded tapes

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## Restrictions

- ▶ Turing machines with semi-unbounded tapes
- ▶ Multi-stack machines

# Restrictions to Turing Machines

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## Restrictions

- ▶ Turing machines with semi-unbounded tapes
- ▶ Multi-stack machines

## Theorem

The previous restrictions are equivalents to Turing machines.

# Extensions to Turing Machines

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## Extensions

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## Extensions

- ▶ Multi-tape Turing machines

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## Extensions

- ▶ Multi-tape Turing machines
- ▶ Mutil-dimensional tape Turing machines



# Extensions to Turing Machines

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## Extensions

- ▶ Multi-tape Turing machines
- ▶ Mutil-dimensional tape Turing machines
- ▶ Multi-head Turing machines

# Extensions to Turing Machines

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## Extensions

- ▶ Multi-tape Turing machines
- ▶ Mutil-dimensional tape Turing machines
- ▶ Multi-head Turing machines
- ▶ Non-deterministic Turing machines

# Extensions to Turing Machines

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## Extensions

- ▶ Multi-tape Turing machines
- ▶ Mutil-dimensional tape Turing machines
- ▶ Multi-head Turing machines
- ▶ Non-deterministic Turing machines
- ▶ Subroutines

# Extensions to Turing Machines

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## Extensions

- ▶ Multi-tape Turing machines
- ▶ Mutil-dimensional tape Turing machines
- ▶ Multi-head Turing machines
- ▶ Non-deterministic Turing machines
- ▶ Subroutines

## Theorem

The previous extensions are equivalents to Turing machines.

# References

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Hopcroft, J. E., Motwani, R. and Ullman, J. D. [1979] (2007). Introduction to Automata Theory, Languages, and Computation. 3rd ed. Pearson Education (cit. on p. 2).