

# CM0081 Formal Languages and Automata

## The Church-Turing-Kleene Thesis

Andrés Sicard-Ramírez

Universidad EAFIT

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# Common Statement of the Thesis

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## The Church-Turing-Kleene thesis

*A function is **effectively calculable** if and only if there is a **Turing machine** which computes it.*

# Agenda

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## Goals

- (i) Introduction to the thesis.
- (ii) To point out that the thesis was not proposed by Church nor Turing but by Kleene.
- (iii) To clarify the thesis is not about machines but idealised human computers.
- (iv) To clarify the thesis is not about arbitrary functions but number-theoretic functions.

# Lambda-Definable Functions and Functions Computable by a Turing Machine

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## Theorem (imprecise version)

The following sets are coextensive:

- (i) the  $\lambda$ -definable functions and
- (ii) the functions computable by a Turing machine

# Non-Provability of the Thesis

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Why the thesis is not a theorem

**Informal** notion (effectively calculable)

**Formal** notion (Turing-machine computable or  $\lambda$ -definible)

# Intensional Meaning versus Extensional Meaning

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*'Here we also use the phrase "Church-Turing thesis" to refer to the amalgamation of the two theses (these and others) where we identify all informal concepts of Definition 1.1<sup>†</sup> with one another we identify all the formal concepts of Definition 1.2<sup>‡</sup>, and their mathematical equivalents, with one another and suppress their intensional meanings.'* [Soare 1996, p. 296]

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<sup>†</sup>Definition 1.1: A function is 'computable' (also called 'effectively calculable' or simply 'calculable') if it can be calculated by a finite mechanical procedure.

<sup>‡</sup>Definition 1.2: (i) A function is 'Turing computable' if it is definable by a Turing machine, as defined by Turing 1936.

# Possible Refutations

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## Turing machine computability does not imply effective calculability

*'A function is considered effectively computable if its value can be computed in an effective way in a finite number of steps, but **there is no bound on the number of steps** required for any given computation. Thus, the fact that there are effectively computable functions which may not be humanly computable has nothing to do with Church's thesis.'* [Mendelson 1963, p. 202]

# Possible Refutations

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Effective calculability does not imply Turing machine computability

From a Church's letter to Pepis (June 8, 1937):

*'Therefore to discover a function which was effectively calculable but no general recursive would **imply discovery of an utterly new principle of logic**, not only never before formulated, but never before actually used in a mathematical proof...Moreover this new principle of logic must be of **so strange, and presumably complicated**,...I should be inclined to scrutinize the alleged effective applicability of the principle with considerable care.'* [Sieg 1997, pp. 175–176]



## Alonzo Church: A Definition

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*'We now define the notion, already discussed, of an effectively calculable function of positive integers by identifying it with the notion of a recursive function of positive integers (or of a  $\lambda$ -definable function of positive integers).'* [Church 1936, p. 356]

See also [Church 1935].



# Alan Turing: A Definition

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*'The "computable" numbers<sup>†</sup> include all numbers which would naturally be regarded as computable.'* [Turing 1936–1937, p. 249]



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<sup>†</sup>The numbers whose decimal representation can be generating progressively by a Turing machine.

# Stephen Kleene: Church's Thesis and Turing's Thesis

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*'The thesis of Church and Turing were not even called "thesis" at all until Kleene [1943, p. 60] referred to Church's "definition" as "Thesis I", and then in 1952 Kleene referred to "Church's Thesis" and "Turing's Thesis".'* [Soare 1996, pp. 295–296]



# Stephen Kleene: The Church-Turing Thesis

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Jay and Vergara [2004] point out the term 'Church-Turing thesis' was first named—but not defined—by Kleene [(1952) 1974, p. 382].

# Stephen Kleene: The Church-Turing Thesis

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*'The term "**Church-Turing thesis**" seems to have been **first** introduced by Kleene, with a small flourish of bias in favor of Church.'* [Copeland 2002]

*'So Turing's and Church's thesis are equivalent. We shall usually refer to them both as Church's thesis, or in connection with that one of its...version which deal with "Turing machines" as **the Church-Turing thesis**.'* [Kleene (1967) 2002, p. 232]



# Misunderstanding: Human Computers or Machines

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Turing's analysis: Features of computations performed by **human computers**

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- ▶ Unlimited sheets of paper  $\Rightarrow$  Unbounded tape
- ▶ The human read/write symbols on the paper  $\Rightarrow$  Read/Write head
- ▶ Human's shift of attention from one part of the paper to another  $\Rightarrow$  Displacement of the read/write head

# Misunderstanding: Human Computers or Machines

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A better version of the Church-Turing-Kleene thesis

*'Any procedure than can be carried out by an **idealised human clerk** working mechanically with paper and pencil can also be carried out by a Turing machine.'* [Copeland and Sylvan 1999].



# Misunderstanding: Human Computers or Machines

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Gandy's theses [Gandy 1980]

*'Thesis P. A discrete deterministic mechanical device satisfies principles I-IV below.' [p. 126]*

*'Theorem. What can be calculated by a device satisfying principles I-IV is computable.' [p. 126]*

*'Thesis M. What can be calculated by a machine is Turing machine computable.' [p. 124]*



# Misunderstanding: Human Computers or Machines

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## Physical Church-Turing-Kleene thesis

*'A function is computable by means of a **physically possible computing device** if and only if there is a Turing machine which computes it.'* [Galton 2006, p. 95]

# Misunderstanding: Human Computers or Machines

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## Current situation

- ▶ At the moment, it **does not exist** a refutation to the Church-Turing-Kleene thesis.

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## Current situation

- ▶ At the moment, it **does not exist** a refutation to the Church-Turing-Kleene thesis.
- ▶ The **hypercomputation** models refute the **theoretical** version of the thesis M.
- ▶ **Open problem**: the refutation of the **realizable** version of the thesis M (i.e. the physical Church-Turing thesis).



# Misunderstanding: Functions or Number-Theoretic Functions

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## Definition

Let  $A$  be a type and let  $f$  and  $\perp$  be a terminating and a non-terminating function from  $a$  to  $a$ , respectively. Plotkin [1977] **parallelOr** function has the following behaviour:

$$\text{parallelOr} : (a \rightarrow a) \rightarrow (a \rightarrow a) \rightarrow a \rightarrow a$$

$$\text{parallelOr } f \perp = f$$

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## Theorem

The **parallelOr** function is an effectively calculable function which is not  $\lambda$ -definable [Plotkin 1977]. See, also, [Turner 2006].

# Misunderstanding: Functions or Number-Theoretic Functions

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## Definition

Let  $\Delta$  be the set of  $\lambda$ -terms, let  $\equiv$  be the syntactic identity on  $\lambda$ -terms and let  $M$  and  $N$  be two combinators in  $\beta$ -normal form. **Church's**  $\delta$  function is defined by

$$\delta : \Delta \rightarrow \Delta \rightarrow \Delta$$
$$\delta MN := \begin{cases} \text{true}, & \text{if } M \equiv N; \\ \text{false}, & \text{if } M \not\equiv N. \end{cases}$$

## Theorem

Church's  $\delta$  function is not  $\lambda$ -definable [Barendregt (1981) 2004, Corollary 20.3.3, p. 520].

# Misunderstanding: Functions or Number-Theoretic Functions

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## Extensions of $\lambda$ -calculus

Jay and Vergara [2017] wrote (emphasis is ours):

*'For over fifteen years, the lead author has been developing calculi that are **more expressive** than  $\lambda$ -calculus, beginning with the constructor calculus [8], then pattern calculus [2,7,3],  $SF$ -calculus [6] and now  $\lambda SF$ -calculus [5]...*

*[The]  $\lambda SF$ -calculus is able to query programs expressed as  $\lambda$ -abstractions, as well as combinators, something that is **beyond** pure  $\lambda$ -calculus.*

*In particular, we have proved (and **verified** in Coq [4]) that equality of closed normal forms is definable within  $\lambda SF$ -calculus.'*

# Misunderstanding: Functions or Number-Theoretic Functions

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## Extensions of $\lambda$ -calculus

Jay and Vergara [2017] also stated the following corollaries:

- (i) Church's  $\delta$  is  $\lambda SF$ -definable.
- (ii) Church's  $\delta$  is  $\lambda$ -definable.
- (iii) Church's  $\delta$  is not  $\lambda$ -definable.

# Misunderstanding: Functions or Number-Theoretic Functions

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## Question

Do Plotkin's parallel or function or Church's  $\delta$  function—which are effectively calculable functions but they are not  $\lambda$ -definable functions—contradict the Church-Turing-Kleene thesis?

# Misunderstanding: Functions or Number-Theoretic Functions

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## Question

Do Plotkin's parallel or function or Church's  $\delta$  function—which are effectively calculable functions but they are not  $\lambda$ -definable functions—contradict the Church-Turing-Kleene thesis?

Answer. No! But we need a better version of the Church-Turing-Kleene thesis.

# Discussion

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## Definition

A **number-theoretic function** is a function whose signature is

$$\mathbb{N}^k \rightarrow \mathbb{N}, \text{ with } k \in \mathbb{N}.$$



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A **number-theoretic function** is a function whose signature is

$$\mathbb{N}^k \rightarrow \mathbb{N}, \text{ with } k \in \mathbb{N}.$$

## Theorem (corrected version)

The following sets are coextensive:

- (i) the  $\lambda$ -definable **number-theoretic** functions and
- (ii) the **number-theoretic** functions computable by a Turing machine

# Discussion

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## A better version of the Church-Turing-Kleene thesis

We should write the Church-Turing-Kleene thesis as:

Any number-theoretic function that can be computed by an idealised human clerk working mechanically with paper and pencil can also be computed by a Turing machine.

# Discussion

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## A better version of the Church-Turing-Kleene thesis

We should write the Church-Turing-Kleene thesis as:

Any number-theoretic function that can be computed by an idealised human clerk working mechanically with paper and pencil can also be computed by a Turing machine.

## Observation

Jay and Vergara [2004, 2017] also negatively answer the question under discussion stating other versions of the Church-Turing-Kleene thesis.

# Bonus Slide

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## Higher-order computability

There are various notions of computability in higher-order settings (see, e.g. [Longley and Normann 2015]).

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